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6 BMG MUSIC (erroneously named as RCA RECORDS)

7  
8 **UNITED STATES DISTRICT COURT**  
9 **NORTHERN DISTRICT OF CALIFORNIA**  
10 **OAKLAND DIVISION**  
11

12 TOMMY DUNBAR and JAMES  
13 GANGWER,

14 Plaintiffs,

15 v.

16 LUCASZ GOTTWALD (aka Dr. Luke),  
17 AVRIL LAVIGNE, KASZ MONEY  
18 PUBLISHING, AVRIL LAVIGNE  
19 PUBLISHING LLC, ALMO MUSIC  
CORP., RCA RECORDS, and APPLE,  
INC.,

20 Defendants.  
21

**CASE NO.: C 07-2758 WDB**

**CERTIFICATION OF INTERESTED  
ENTITIES OR PERSONS**

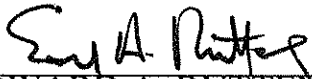
**[Local Rule 3-16]**

22  
23 Pursuant to Local Rule 3-16, the undersigned, counsel of record for defendant  
24 BMG MUSIC (erroneously named as "RCA Records") certifies that the following  
25 listed persons, associations of persons, firms, partnerships, corporations (including  
26 parent corporations) or other entities (i) have a financial interest in the subject matter  
27 in controversy or in a party to the proceeding, or (ii) have a non-financial interest in  
28

1 that subject matter or in a party that could be substantially affected by the outcome of  
2 this proceeding:

- 3 • Defendant LUCASZ GOTTWALD (a/k/a Dr. Luke)
- 4 • Defendant AVRIL LAVIGNE
- 5 • Defendant KASZ MONEY PUBLISHING
- 6 • Defendant AVRIL LAVIGNE PUBLISHING LLC
- 7 • Defendant RONDOR MUSIC INTERNATIONAL, INC. d/b/a ALMO MUSIC
- 8 (erroneously named as "Almo Music Corp.")
- 9 • Defendant BMG MUSIC (erroneously named as "RCA Records")
- 10 • Defendant APPLE, INC.
- 11 • Plaintiff TOMMY DUNBAR
- 12 • Plaintiff JAMES GANGWER
- 13 • UNIVERSAL MUSIC GROUP, INC., parent of Rondor Music International,
- 14 Inc. d/b/a Almo Music (erroneously named as "Almo Music Corp.")
- 15 • VIVENDI S.A., parent of Universal Music Group, Inc.; and
- 16 • SONY CORPORATION, ultimate parent of defendant BMG MUSIC

17  
18  
19  
20 DATED: August 15, 2007

  
EDWARD A. RUTTENBERG  
ROBERT S. GUTIERREZ  
LEOPOLD, PETRICH & SMITH, P.C.  
Attorneys for Defendant  
BMG MUSIC (erroneously named as RCA  
RECORDS)

**PROOF OF SERVICE**

**STATE OF CALIFORNIA, COUNTY OF LOS ANGELES**

I am employed in the County of Los Angeles, State of California. I am over the age of 18 years and not a party to the within action. My business address is **2049 Century Park East, Suite 3110, Los Angeles, California 90067-3274.**

On August 15, 2007, I served the foregoing document described as **CERTIFICATION OF INTERESTED ENTITIES OR PERSONS** on the interested parties in this action.

☒ by placing the original thereof enclosed in (a) sealed envelope(s), addressed as follows:

**SEE ATTACHED SERVICE LIST**

☒ **BY REGULAR MAIL:** I deposited such envelope in the mail at 2049 Century Park East, Suite 3110, Los Angeles, California 90067-3274. The envelope was mailed with postage thereon fully prepaid.

I am "readily familiar" with the firm's practice of collection and processing correspondence for mailing. It is deposited with the U.S. Postal Service on that same day in the ordinary course of business. I am aware that on motion of the party served, service is presumed invalid if postal cancellation date or postage meter date is more than one (1) day after date of deposit for mailing in affidavit.

☐ **BY FACSIMILE MACHINE:** I transmitted a true copy of said document(s) by facsimile machine, and no error was reported. Said fax transmission(s) were directed as indicated on the service list.

☐ **BY OVERNIGHT MAIL:** I deposited such documents at the Federal Express Drop Box located at 2049 Century Park East, Suite 3110, Los Angeles, California 90067-3274. The envelope was deposited with delivery fees thereon fully prepaid.

☐ **BY PERSONAL SERVICE:** I caused such envelope(s) to be delivered by hand to the above addressee(s).

☐ (State) I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

☒ (Federal) I declare that I am employed in the office of a member of the Bar of this Court, at whose direction the service was made. I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on August 15, 2007, at Los Angeles, California.

\_\_\_\_\_  
/s/ Kathryn Toyama

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